

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q81294

Tadaaki KOJIMA, et al.

Appln. No.: 10/567,567

Group Art Unit: 1775

Confirmation No.: 9333

Examiner: Michael E. Lavilla

Filed: August 08, 2006

For: PRODUCTION METHOD OF SUBSTRATE WITH BLACK FILM AND SUBSTRATE

WITH BLACK FILM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

Receipt date: 12/19/2006

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents. Applicant submits the following explanations:

- The disclosure on page 18 of the present specification and the submission of an English language abstract for the JP-A-57-174442 constitutes a concise statement of relevance of JP-A-57-174442.
- The disclosure on page 18 of the present specification and the submission of an English language abstract for JP-A-61-253383 constitutes a concise statement of relevance of JP-A-61-253383.
- The disclosure on page 5 of the present specification and the submission of an English language abstract for JP-A-10-184541 constitutes a concise statement of relevance of IP-A-10-184541.
- 4. The disclosure on page 5 of the present specification and the submission of an English language abstract for JP-A-10-319381 constitutes a concise statement of relevance of JP-A-10-319381.
- The disclosure on page 3 of the present specification and the submission of an English language abstract for JP-A-2003-46022 constitutes a concise statement of relevance for JP-A-2003-46022.
- The disclosure of Japanese Patent No. 2023576 on pages 18-19 of the present specification and the submission of corresponding application JP-B2-7-42588 with the

Receipt date: 12/19/2006 10567567 - GAU: 1792

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corresponding English language abstract for JP-A-03-068785 constitutes a concise statement of relevance of Japanese Patent No. 2023576.

7. The disclosure of Japanese Patent No. 3094000 on page 20 of the present specification and the submission of corresponding English language abstract JP-A-11-092912 constitutes a concise statement of relevance of Japanese Patent No. 3094000.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 33,725

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23373 CUSTOMER NUMBER

Date: December 19, 2006

Complete if Known . Substitute for Form 1449 A & B/PTO 10/567.567 Application Number INFORMATION DISCLOSURE Confirmation Number 9333 STATEMENT BY APPLICANT Filing Date August 08, 2006 Tadaaki KOJIMA First Named Inventor Art Unit 1775-1792 (use as many sheets as necessary) Michael E. Lavilla-Bareford Examiner Name Attorney Docket Number Q81294

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number		Publication Date			
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US					
		US					
		US					
		US					
		US					

CODETON DATENT DOCUMENTO

Examiner Initials*	Cite No.1	Foreign Patent Document			Publication	Name of Patentee or	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	1 ranslation
		JP	57-174442	Α	10-27-1982	TOKYO MEKKI:KK	ABSTRACT
		JP	61-253383	Α	11-11-1986	TOKAI RIKA CO LTD	ABSTRACT
		JP	10-184541	A	07-14-1998	ANELVA CORP	ABSTRACT
		JP	10-319381	A	12-04-1998	MATSUSHITA ELECTRIC IND CO LTD	ABSTRACT
		JP	2003-46022	A	02-14-2003	HITACHI LTD	ABSTRACT
		JР	7-42588	B2	05-10-1995	OKUNO SEIYAKU KOGYO KK	CORRESPONDING ABSTRACT JP-A-03-068785
		JP	3094000	B2	10-03-2000	SHOWA DENKO KK	CORRESPONDING ABSTRACT JP-A-11-092912

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, Journal, serial, symposium, eatalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	

Examiner Signature	/Katherine A. Bareford/	Date Considered	06/01/2009

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Jay Harris, unique ciution designation number festicolom). See kind Codes of USPTO Patent Documents at new agric por. MPE 901.04 or in the comment bos of this document. I Enter Office that issued in decruence, by the receivance of the property management of the said market of the patent document of the patent documents of the patent documen